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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/773,409	02/09/2004	Katsuhiro Hiejima	NPR-152	6612
20374 75: KUBOVCIK & K	90 04/10/200 KUBOVCIK	EXAMINER		
SUITE 710		KOHARSKI, CHRISTOPHER		
900 17TH STREET NW WASHINGTON, DC 20006			ART UNIT	PAPER NUMBER
,			3763	
	,			
SHORTENED STATUTORY	PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MONTHS		04/10/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	·	Application No.	Applicant(s)				
		10/773,409	HIEJIMA, KATSUHIRO				
	Office Action Summary	Examiner	Art Unit				
		Christopher D. Koharski	3763				
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
WHIC - Exter after - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATES on STATE of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. Period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	J.  nely filed  the mailing date of this communication.  D (35 U.S.C. § 133).				
Status							
1)🖂	Responsive to communication(s) filed on <u>01 Fe</u>	ebruary 2007.	•				
·	This action is <b>FINAL</b> . 2b) This action is non-final.						
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Dispositi	on of Claims						
5)□ 6)⊠ 7)⊠	Claim(s) <u>1-26</u> is/are pending in the application.  4a) Of the above claim(s) <u>11-19</u> , <u>21</u> , <u>24</u> and <u>25</u> Claim(s) is/are allowed.  Claim(s) <u>1-10</u> , <u>20</u> , <u>24</u> and <u>26</u> is/are rejected.  Claim(s) <u>8</u> is/are objected to.  Claim(s) are subject to restriction and/or	is/are withdrawn from considerat	ion.				
Applicati	on Papers	•					
	The specification is objected to by the Examine	r.					
10)	The drawing(s) filed on is/are: a) ☐ acce	epted or b) objected to by the B	Examiner.				
	Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).				
11)	Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Ex						
Priority u	ınder 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
	•						
Attachmen	t(s)						
1) Notic	e of References Cited (PTO-892)	4) Interview Summary					
3) Infor	te of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) or No(s)/Mail Date	Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:					

#### **DETAILED ACTION**

## Response to Amendment

Examiner acknowledges the reply filed 2/1/2007, in which claim 1 was amended and claim 26 was added. Applicant's arguments regarding the abstract objection are persuasive and the objection is withdrawn. Currently claims 1-26 are pending for examination with claims 11-19, 21, 24 and 25 withdrawn due to a previous election restriction.

## Claim Objections

Claim 8 is objected to because of the following informalities: Regarding claim 8, the claim contains a minor misspelling of the word "opend". Appropriate correction is required.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 3, 5-6, and 26 are rejected under 35 U.S.C. 102(b) as being anticipated by Schneider et al. (5,322,518). Schneider et al. discloses a valve device for a catheter.

Regarding claims 1, 3, 5-6, and 26, Schneider et al. discloses a tube (13) arranged in a longitudinal direction, a female connector (77) having a hollow form opened at its front and rear ends with an opening taper portion (near 16) formed in the longitudinal position with an elastically deformable (23) hemostasis valve that is

longitudinally slidable in the opening, the hemostasis valve being made of a single member and having a body portion (23) with an opening (43) being elastically deformed in a radially inward position and being urged in a rearward direction by the elastic deformation of an element body portion (41) and an open/closable portion (24,26) being formed in a tapered shaped to open outwardly upon the deformation of the body portion (Figure 1 and 4) in a radially inward direction.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-9, 20 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nakajima (US2002/01286044). Nakajima discloses an indwelling catheter assembly.

Regarding claims 1-9, 20 and 22, Nakajima discloses an indwelling catheter comprising a tube (2) a female connector (1) having a hollow form (4b) and an opening

taper portion with tapers located in the body with a longitudinally slidably elastically deformable body located within the catheter body with an openable/closable portion (Figures 1-3, 5-6 and 9-12) that deforms radially outward with a duckbill type configuration. Additionally, Nakajima discloses a connecting taper portion wherein the male connector (6a) is inserted into the female connector causing the hemostasis valve to move forwardly wherein the inner needle (5a) is removable (Figures 1-3, 5-6 and 9-12).

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Nakajima discloses the claimed invention except for a one-piece hemostasis valve. It would have been obvious to one having ordinary skill in the art at the time the invention was made to construct the valve member (such as depicted in Figure 9, 4b and 3c connected by 8) of a single unitary piece, since it has been held that forming in one piece an article which has formerly been formed in two pieces and put together involves only routine skill in the art. Howard v. Detroit Stove Works, 150 U.S. 164 (1893).

### Claim Rejections - 35 USC § 103

Claim 24 is rejected under 35 U.S.C 103(a) as being unpatentable over Nakajima in view of Vaillancourt (6,699,221). Nakajima meets the claim limitations as described above except the express use of the male connector being a syringe.

However, Vaillancourt teaches a bloodless catheter.

Regarding claim 24, Vaillancourt teaches a syringe used with an inline vein puncture assembly (Figures 1-4, see summary of invention).

At the time of the invention, it would have been obvious to use a syringe with the system of Nakajima because it is well known in the art and Vaillancourt teaches that is that syringes are used with inline vein puncture assemblies. The references are analogous in the art and with the instant invention; therefore, a combination is proper. Therefore, one skilled in the art would have combined the teachings in the references in light of the disclosure of Vaillancourt.

## Claim Rejections - 35 USC § 103

Claim 10 is rejected under 35 U.S.C 103(a) as being unpatentable over Nakajima. Nakajima meets the claim limitations as described above except for the elastic member being made of a rubber material.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to construct the seal of rubber, since there are many well known elastic materials in the art used construct seal and valve assemblies, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 227 F.2d 197, 125 USPQ 416 (CCPA 1960).

#### Response to Arguments

Applicant's arguments with respect to claims 1-10, 20, 24 and 26 drawn to the single piece element have been considered but are moot in view of the new ground(s) of rejection.

Applicant's arguments filed 2/01/2007 have been fully considered but they are not persuasive. In response to applicant's argument that the references fail to show

certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., negative limitation of "not by pressing open the openable/closeable position) are not recited in the rejected claim 1, only the single piece valve. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

# Suggested Allowable Subject Matter

The following claim subject matter is suggested by the examiner and considered to distinguish patentably over the art of record in this application and is therefore presented to Applicant for consideration:

Examiner suggest the addition of a claim limitation to the independent claims drawn to element 16 (the distal protrusion) depicted in Applicant's figure 5 to overcome the prior art of record.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

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shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher D. Koharski whose telephone number is 571-272-7230. The examiner can normally be reached on 7:30am to 4:00pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nick Lucchesi can be reached on 571-272-4977. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Date:

Mula

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